Timothy Brandon Riley

U.S. Securities and Exchange Commission — September, 2014
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Areas of Interest

Research: Mutual Funds, Hedge Funds, ETFs, Fixed Income, Market Anomalies
Teaching: Investments, Corporate Finance, Financial Modeling, Risk Management

Education

University of Kentucky:

PhD in Finance – 3.87 GPA — 2010 – 2014
Dissertation Chair: Dr. Brad Jordan
MBA – 4.0 GPA — 2009 – 2010
BS in Mechanical Engineering – 3.87 GPA — 2005 – 2009

Designations and Certifications

Chartered Financial Analyst (CFA) Exam – Passed Level III
Fundamentals of Engineering (FE) Exam – Passed
Bloomberg Certified

Articles

Average Funds versus Average Dollars: Implications for Mutual Fund Research (with Chris Clifford and Brad Jordan), *Journal of Empirical Finance*, 28, 2014, 249-260.

Predictability in Bond ETF Returns (with Jon Fulkerson and Susan Jordan), *Journal of Fixed Income*, 23 (3), 2014, 50-63.

Return Chasing in Bond Funds (with Jon Fulkerson and Brad Jordan), *Journal of Fixed Income*, 22 (4), 2013, 90–103.

Do Absolute Return Mutual Funds Have Absolute Returns? (with Chris Clifford and Brad Jordan), *Journal of Investing*, 22 (4), 2013, 23-40.

Working Papers

Volatility and Mutual Fund Manager Skill (with Brad Jordan)

- University of Kentucky, August 2013
- Oklahoma State University, November 2013
- Securities and Exchange Commission, January 2014

- University of Florida, January 2014
- Western Finance Association Annual Meeting, June 2014
- European Financial Management Association Annual Meeting, June 2014

The Long and Short of the Vol Anomaly (with Brad Jordan)

- UK/UT Finance Conference, April 2014

Dissecting the Low Volatility Anomaly (with Brad Jordan)

- University of Kentucky, August 2012
- Midwest Finance Association Annual Meeting, March 2013

Experience

Academic:

University of Kentucky 2010 – 2014

Research Assistant

- Brad Jordan, Chris Clifford

Teaching Assistant

- Mark Liu, Susan Jordan

FIN 410 – Investment Analysis

- Course Instructor (4.0/4.0)

B&E 105 – Technology for Business Solutions

- Section Instructor (7x)

MBA 601 – Rapid Immersion in Decision Making

- Managed evening and weekend supplementary instruction and test taking

Industry:

McGraw-Hill 2010 – 2012

Technical Proofreader

- Proofed three college level finance books (text, solutions, instructor manual, test bank)
- Created PowerPoints for instructor use
- Designed and dialogued narrated statistics video

Lexmark 2008 – 2009

Engineering Researcher

- Designed and implemented new testing procedures for high-speed color laser printers

Conference Participation

Financial Management Association Annual Meeting, 2012, 2013 – Discussant
Southern Finance Association Annual Meeting, 2012 – Discussant/Presenter
Midwest Finance Association Annual Meeting, 2013 – Discussant/Presenter
Western Finance Association Annual Meeting, 2014 – Presenter
European Financial Management Association, 2014 – Discussant/Presenter

Awards and Honors

Singletary Scholarship – Full Cost of Attendance	2005 – 2009
MBA Scholarship – Full Tuition	2009, 2010
Kentucky Opportunity Fellowship – $15,000	2012
Gatton Fellowship – $4,000	2013
Daniel R. Reedy Quality Achievement Award – $3,000	2010 – 2012
Max Steckler Fellowship – $3,000	2010, 2011
Luckett Fellowship – $2,000	2013
CFA Scholarship	2011, 2012
American Finance Association PhD Student Travel Grant	2012
European Financial Management Association Doctoral Seminar	2014

Professional Activities

Kentucky Kernel – Columnist	2008 – 2010
UK Engineering Student Council (ESC) – President	2008 – 2009
National Association of ESCs – Regional Vice President	2007 – 2008

Hobbies

Distance Running, Collecting Comic Books